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Dkt. 53437-A-PCT-US/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Philip O. Livingston and
Govindaswami Ragupathi

Serial No. : 09/534,711

Filed : March 24, 2000

For : FUCOSYL GM1-KLH CONJUGATE VACCINE AGAINST
SMALL CELL LUNG CANCER

1185 Avenue of the Americas
New York, New York 10036
June 25, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22312-1450
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Sir:

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COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed an error.

Specifically, under Domestic Priority data as claimed by applicant, there is an indication that the data provided by applicant is inconsistent with PTO records.

A corrected filing receipt should read as follows:

--Domestic Priority data as claimed by applicant

This application is a CON of PCT/US98/20073 09/25/1998
Which is a CIP of 60/059,664 09/25/1997

Applicants contend that the correct data may be found in the Declaration and Power of Attorney and the Preliminary Amendment,

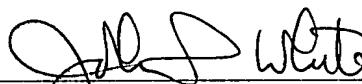
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Serial No. : 09/534,711
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Page 2

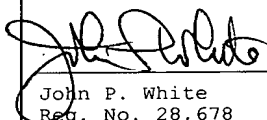
both filed on March 24, 2000 with the subject application. Copies of the Declaration and Power of Attorney and the Preliminary Amendment are attached hereto as **Exhibits B and C**, respectively. Accordingly, applicants request that a corrected Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,



I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



John P. White
Reg. No. 28,678

6/25/03

Date

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,711 ✓	03/24/2000	1642	345	53437-A- PCT- US/JPW/JL	3	16	1

John P White
 Cooper & Dunham LLP
 1185 Avenue of the Americas
 New York, NY 10036

JUN - 9 2003
 AP

CONFIRMATION NO. 2601

CORRECTED FILING RECEIPT



OC000000010185049

Date Mailed: 06/04/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Philip O Livingston, New York, NY; ✓
 Govindaswami Ragupathi, New York, NY;

D mestic Priority data as claimed by applicant

This application is a CON of PCT/US98/20073 09/25/1998 *
 which is a CIP of 60/059,664 09/25/1997
 (*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted: 06/13/2000

CPA filed on: 04/21/2003

Pr jected Publication Date: 09/11/2003

N n-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

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Title

Fucosyl gm1-klh conjugate vaccine against small cell lung cancer ✓

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).